



Atty. Dkt. No.: HAR 104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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HAR 104

In re Application of:)
Endre Markovits SCHERSL)
Serial No.: 09/772,790)
Filed: January 30, 2001)
For: COMPOSITION CONTAINING)
PHYTOSTEROL AND POLICOSANOL)
ESTERS OF FATTY ACIDS FOR)
REDUCING BLOOD CHOLESTEROL)
AND TRIGLYCERIDES)

Group Art Unit: 1614
Examiner: To be assigned

San Diego, California 92101
November 6, 2001

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant makes the below-listed documents of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

Patent Documents

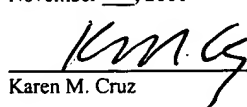
1. United States Patent No. 2,591,885 (Smith) issued April 8, 1952;
2. United States Patent No. 2,716,630 (Spangenberg et al.) issued April 30, 1955;

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Service (Label no. EL 904 935 785 US) on the date shown below with sufficient postage in an envelope addressed to: Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 8, 2001

Signature:


Karen M. Cruz

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3. United States Patent No. 2,866,739 (Ciesielski et al.) issued December 30, 1958;
4. United States Patent No. 3,031,376 (Levin et al.) issued April 24, 1962;
5. United States Patent No. 4,076,700 (Harada et al.) issued February 28, 1978;
6. United States Patent No. 4,151,160 (Koebner) issued April 24, 1979;
7. United States Patent No. 4,298,539 (Koskenniska) issued November 3, 1981;
8. United States Patent No. 4,422,966. (Amer) issued December 27, 1983;
9. United States Patent No. 4,524,024 (Hughes) issued June 18, 1985;
10. United States Patent No. 4,524,030 (Cleary et al.) issued June 18, 1985;
11. United States Patent No. 4,874,794 (Katz) issued October 17, 1989;
12. United States Patent No. 5,071,879 (Katz) issued December 10, 1991;
13. United States Patent No. 5,117,016 (Tackett et al.) issued May 26, 1992;
14. United States Patent No. 5,166,219 (Katz) issued November 24, 1992;
15. United States Patent No. 5,244,887 (Straub) issued September 14, 1993;
16. United States Patent No. 5,270,041 (Eugster et al.) issued December 14, 1993;
17. United States Patent No. 5,502,887 (Gonzales) issued April 2, 1996;
18. United States Patent No. 5,534,554 (Katz et al.) issued July 9, 1996; and
19. United States Patent No. 6,057,462 (Robinson et al.) issued May 2, 2000.

Foreign Patent Documents

20. PCT Patent Publication No. 00/64924, Published 2 November 2000.

Other Documents

21. Ault, A., "Techniques and Experiments for Organic Chemistry," 2nd Ed. Pgs. 29-41 (1976)

* * *

Copies of the above-listed documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office in examination of this application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next communication, in accordance with MPEP § 609.

It is believed that no fee is required in connection with this submission. In the event that a fee is required, please charge our Deposit Account No. 02-0410, in the name of Baker & McKenzie.

Respectfully submitted,



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